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Date: October 5, 2004

By:



William F. Busch

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: **ANDERSON, TIMOTHY**
APPLICATION NO.: **10/711,128**
FILED: **AUGUST 26, 2004**
For: **COMPUTER-IMPLEMENTED ADAPTIVE
MODULAR RISK MANAGEMENT TRADING
SYSTEM FOR PROFESSIONAL EQUITY TRADERS**

EXAMINER:
ART UNIT:
CONF. NO:
ATTY DOCKET: ASDC.P001.B

PRELIMINARY AMENDMENT A

Mail Stop: Missing Parts
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Dear Sir/Madam:

Please enter the following Preliminary Amendment before conducting any substantive examination of the following Patent Application. Changes to the claims begin on page 2, and the remarks begin on page 6.

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